



Licensing Class Schedule

2024 Third Quarter



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SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	July 8 & 9	2-Days	M/T	9:00 a.m.-5:00 p.m.
SIE	August 5 & 6	2-Days	M/T	9:00 a.m.-5:00 p.m.
SIE	September 10 & 11	2-Days	T/W	9:00 a.m.-5:00 p.m.
SIE	July 29 & 30	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	August 26 & 27	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	September 23 & 24	2-Days	M/T	10:00 a.m.-6:00 p.m.
SIE	July 8, 10, 15, 17, 22, 24, 29, 31	4-Week	M/W	12:00 p.m.-2:00 p.m.
SIE	Aug. 5, 7, 12, 14, 19, 21, 26, 28	4-Week	M/W	12:00 p.m.-2:00 p.m.
SIE	Sept. 9, 11, 16, 18, 23, 25, 30, Oct. 2	4-Week	M/W	12:00 p.m.-2:00 p.m.
SIE	July 9, 11, 16, 18, 23, 25, 30, Aug. 1	4-Week	T/TH	5:00 p.m.-7:00 p.m.
SIE	Aug. 13, 15, 20, 22, 27, 29, Sept. 3, 5	4-Week	T/TH	5:00 p.m.-7:00 p.m.
SIE	Sept. 10, 12, 17, 19, 24, 26, Oct. 1, 3	4-Week	T/TH	5:00 p.m.-7:00 p.m.
SIE	August 13, 15, 20, 22	2-Week	T/TH	1:00 p.m.-5:00 p.m.
SIE	July 15, 17, 22, 24	2-Week	M/W	5:00 p.m.-9:00 p.m.
SIE	September 17, 19, 24, 26	2-Week	T/TH	5:00 p.m.-9:00 p.m.

Series 7

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7	July 9, 10, 11	3-Days	T-TH	9:00 a.m.-5:00 p.m.
Series 7	August 6, 7, 8	3-Days	T-TH	9:00 a.m.-5:00 p.m.
Series 7	September 9, 10, 11	3-Days	M-W	9:00 a.m.-5:00 p.m.
Series 7	July 22, 23, 24	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	August 19, 20, 21	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	September 16, 17, 18	3-Days	M-W	11:00 a.m.-7:00 p.m.
Series 7	July 8, 9, 10, 15, 16, 17	2-Week	M-W	1:00 p.m.-5:00 p.m.
Series 7	September 9, 10, 11, 16, 17, 18	2-Week	M-W	1:00 p.m.-5:00 p.m.
Series 7	July 9, 11, 16, 18, 23, 25, 30, Aug. 1	4-Week	T/TH	5:30 p.m.-8:30 p.m.
Series 7	Aug. 5, 7, 12, 14, 19, 21, 26, 28	4-Week	M/W	5:30 p.m.-8:30 p.m.
Series 7	Sept. 10, 12, 17, 19, 24, 26, Oct. 1, 3	4-Week	T/TH	5:30 p.m.-8:30 p.m.

Series 7 Options, Suitability & Product Selection

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7 OSP	July 13	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	August 10	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	September 14	1-Day	S	10:00 a.m.-2:00 p.m.
Series 7 OSP	July 25	1-Day	TH	1:00 p.m.-5:00 p.m.
Series 7 OSP	August 22	1-Day	TH	1:00 p.m.-5:00 p.m.
Series 7 OSP	September 19	1-Day	TH	1:00 p.m.-5:00 p.m.

Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 9	July 11	1-Day	TH	9:00 a.m.-4:30 p.m.
Series 9	August 14	1-Day	W	9:00 a.m.-4:30 p.m.
Series 9	September 10	1-Day	T	9:00 a.m.-4:30 p.m.
Series 10	July 22 & 23	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 10	August 12 & 13	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 10	September 17 & 18	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	July 16 & 17	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	August 13 & 14	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 24	September 9 & 10	2-Days	M/T	9:00 a.m.-5:00 p.m.
Series 24	July 29 & 30	2-Days	M/T	10:00 a.m.-6:00 p.m.
Series 24	August 26 & 27	2-Days	M/T	10:00 a.m.-6:00 p.m.
Series 24	September 16, 18, 23, 25	4-Days	M/W	1:00 p.m.-5:00 p.m.
Series 27	July 16	1-Day	T	9:30 a.m.-5:00 p.m.
Series 27	August 15	1-Day	TH	9:30 a.m.-5:00 p.m.
Series 27	September 19	1-Day	TH	9:30 a.m.-5:00 p.m.

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 3	July 10 & 11	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 3	August 7 & 8	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 3	September 4 & 5	1.5-Days	W/TH	D1: 9:30am-4:30pm; D2: 9:30am-2pm
Series 6	July 24	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	August 21	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	September 25	1-Day	W	10:00 a.m.-6:00 p.m.
Series 6	July 17 & 18	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	July 25 & 26	2-Days	TH/F	1:00 p.m.-5:00 p.m.
Series 6	August 7 & 8	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	August 22 & 23	2-Days	TH/F	1:00 p.m.-5:00 p.m.
Series 6	September 4 & 5	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 6	September 18 & 19	2-Days	W/TH	1:00 p.m.-5:00 p.m.
Series 57	August 12 & 13	2-Days	M/T	1:00 p.m.-5:00 p.m.
Series 63	July 12	1-Day	F	9:00 a.m.-1:00 p.m.
Series 63	August 9	1-Day	F	9:00 a.m.-1:00 p.m.
Series 63	September 13	1-Day	F	9:00 a.m.-1:00 p.m.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 63	July 26	1-Day	F	1:00 p.m.-5:00 p.m.
Series 63	August 23	1-Day	F	1:00 p.m.-5:00 p.m.
Series 63	September 27	1-Day	F	1:00 p.m.-5:00 p.m.
Series 65	July 16, 18, 23, 25	2-Week	T/TH	1:00 p.m.-4:30 p.m.
Series 65	August 12, 14, 19, 21	2-Week	M/W	1:00 p.m.-4:30 p.m.
Series 65	September 10, 12, 17, 19	2-Week	T/TH	1:00 p.m.-4:30 p.m.
Series 66	July 23 & 24	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 66	August 20 & 21	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 66	September 17 & 18	2-Days	T/W	9:00 a.m.-5:00 p.m.
Series 66	July 8, 9, 10, 11	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 66	August 5, 6, 7, 8	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 66	September 9, 10, 11, 12	4-Days	M-TH	1:00 p.m.-5:00 p.m.
Series 79	July 22 & 23	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 79	August 19 & 20	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 79	September 23 & 24	2-Days	M/T	9:30 a.m.-5:30 p.m.
Series 86	August 12 & 14	2-Days	M/W	4:30 p.m.-7:30 p.m.
Series 87	July 18	1-Day	TH	4:30 p.m.-8:30 p.m.
Series 99	July 17	1-Day	W	9:30 a.m.-5:30 p.m.
Series 99	September 19	1-Day	TH	9:30 a.m.-5:30 p.m.