



Licensing Class Schedule

1H 2025



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SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	January 6 & 7	2-Days	M/T	9:00am-5:00pm
SIE	February 3 & 4	2-Days	M/T	9:00am-5:00pm
SIE	March 3 & 4	2-Days	M/T	9:00am-5:00pm
SIE	April 1 & 2	2-Days	T/W	9:00am-5:00pm
SIE	May 6 & 7	2-Days	T/W	9:00am-5:00pm
SIE	June 3 & 4	2-Days	T/W	9:00am-5:00pm
SIE	Jan. 27 & 28	2-Days	M/T	10:00am-6:00pm
SIE	Feb. 24 & 25	2-Days	M/T	10:00am-6:00pm
SIE	March 24 & 25	2-Days	M/T	10:00am-6:00pm
SIE	Apr. 16 & 17	2-Days	W/TH	10:00am-6:00pm
SIE	May. 20 & 21	2-Days	T/W	10:00am-6:00pm
SIE	June 23 & 24	2-Days	M/T	10:00am-6:00pm
SIE	Jan. 7, 9, 14, 16, 21, 23, 28, 30	4 - Week	T/TH	12:00pm-2:00pm
SIE	Feb. 4, 6, 11, 13, 18, 20, 25, 27	4 - Week	T/TH	12:00pm-2:00pm
SIE	Mar. 3, 5, 10, 12, 17, 19, 24, 26	4 - Week	M/W	12:00pm-2:00pm
SIE	Apr. 1, 3, 8, 10, 15, 17, 22, 24	4 - Week	T/TH	12:00pm-2:00pm
SIE	Apr. 28, 30, May 5, 7, 12, 14, 19, 21	4 - Week	M/W	12:00pm-2:00pm
SIE	June. 3, 5, 10, 12, 17, *18, 24, 26	4 - Week	T/TH	12:00pm-2:00pm

SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	Jan. 14, 16, 21, 23, 28, 30, Feb. 4, 6	4 - Week	T/TH	5:00pm-7:00pm
SIE	Feb. 11, 13, 18, 20, 25, 27, Mar. 4, 6	4 - Week	T/TH	5:00pm-7:00pm
SIE	Mar. 10, 12, 17, 19, 24, 26, 31, Apr. 2	4 - Week	M/W	5:00pm-7:00pm
SIE	Apr. 8, 10, 15, 17, 22, 24, 29, May 1	4 - Week	T/TH	5:00pm-7:00pm
SIE	May 13, 15, 20, 22, 27, 29, June 3, 5	4 - Week	T/TH	5:00pm-7:00pm
SIE	June 9, 11, 16, 18, 23, 25, 30, July 2	4 - Week	M/W	5:00pm-7:00pm
SIE	Feb. 3, 5, 10, 12	2 - Week	M/W	1:00pm-5:00pm
SIE	Apr. 7, 9, 14, 16	2 - Week	M/W	1:00pm-5:00pm
SIE	June, 2, 4, 9, 11	2 - Week	M/W	1:00pm-5:00pm
SIE	Jan. 8, 9, 15, 16	2 - Week	W/TH	5:00pm-9:00pm
SIE	Mar. 5, 6, 12, 13	2 - Week	W/TH	5:00pm-9:00pm
SIE	May. 5, 7, 12, 14	2 - Week	M/W	5:00pm-9:00pm

Series 7

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7	Jan. 6, 7, 8	3 - Days	M-W	9:00am-5:00pm
Series 7	Feb. 3, 4, 5	3 - Days	M-W	9:00am-5:00pm
Series 7	Mar. 3, 4, 5	3 - Days	M-W	9:00am-5:00pm
Series 7	Apr. 7, 8, 9	3 - Days	M-W	9:00am-5:00pm
Series 7	May. 5, 6, 7	3 - Days	M-W	9:00am-5:00pm
Series 7	June 2, 3, 4	3 - Days	M-W	9:00am-5:00pm
Series 7	Jan. 21, 22, 23	3 - Days	T-TH	11:00am-7:00pm
Series 7	Feb. 24, 25, 26	3 - Days	M-W	11:00am-7:00pm
Series 7	Mar. 24, 25, 26	3 - Days	M-W	11:00am-7:00pm
Series 7	Apr. 21, 22, 23	3 - Days	M-W	11:00am-7:00pm
Series 7	May. 19, 20, 21	3 - Days	M-W	11:00am-7:00pm
Series 7	June. 23, 24, 25	3 - Days	M-W	11:00am-7:00pm
Series 7	Jan. 14, 15, 16, 21, 22, 23	2 - Week	T-TH	1:00pm-5:00pm
Series 7	Mar. 10, 11, 12, 17, 18, 19	2 - Week	M-W	1:00pm-5:00pm
Series 7	May. 12, 13, 14, 19, 20, 21	2 - Week	M-W	1:00pm-5:00pm
Series 7	June. 9, 10, 11, 16, 17, 18	2 - Week	M-W	1:00pm-5:00pm
Series 7	Jan. 21, 23, 28, 30, Feb. 4, 6, 11, 13	4 - Week	T/TH	5:30pm-8:30pm
Series 7	Mar. 3, 5, 10, 12, 17, 19, 24, 26	4 - Week	M/W	5:30pm-8:30pm
Series 7	Apr. 7, 9, 14, 16, 21, 23, 28, 30	4 - Week	M/W	5:30pm-8:30pm
Series 7	May. 6, 8, 13, 15, 20, 22, 27, 29	4 - Week	T/TH	5:30pm-8:30pm
Series 7	June 2, 4, 9, 11, 16, 18, 23, 25	4 - Week	M/W	5:30pm-8:30pm



Series 7 Options, Suitability & Product Selection

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7 OSP	January. 11	1 - Day	S	10:00am-2:00pm
Series 7 OSP	February. 15	1 - Day	S	10:00am-2:00pm
Series 7 OSP	March. 15	1 - Day	S	10:00am-2:00pm
Series 7 OSP	April. 12	1 - Day	S	10:00am-2:00pm
Series 7 OSP	May. 10	1 - Day	S	10:00am-2:00pm
Series 7 OSP	June .14	1 - Day	S	10:00am-2:00pm
Series 7 OSP	January. 23	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	February. 27	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	March. 27	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	April. 24	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	May. 22	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	June. 26	1 - Day	TH	1:00pm-5:00pm

Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 9	January. 8	1 - Day	W	9:00am-4:30pm
Series 9	February. 12	1 - Day	W	9:00am-4:30pm
Series 9	March. 19	1 - Day	W	9:00am-4:30pm
Series 9	April. 23	1 - Day	W	9:00am-4:30pm
Series 9	May. 28	1 - Day	W	9:00am-4:30pm
Series 9	June. 30	1 - Day	M	9:00am-4:30pm
Series 10	Jan. 13 & 14	2 - Days	M/T	9:00am-5:00pm
Series 10	Feb. 10 & 11	2 - Days	M/T	9:00am-5:00pm
Series 10	Mar. 10 & 11	2 - Days	M/T	9:00am-5:00pm
Series 10	Apr. 16 & 17	2 - Days	W/TH	9:00am-5:00pm
Series 10	May. 12 & 13	2 - Days	M/T	9:00am-5:00pm
Series 10	June. 9 & 10	2 - Days	M/T	9:00am-5:00pm
Series 24	Jan. 6 & 7	2 - Days	M/T	9:00am-5:00pm
Series 24	Feb. 3 & 4	2 - Days	M/T	9:00am-5:00pm
Series 24	Mar. 3 & 4	2 - Days	M/T	9:00am-5:00pm
Series 24	Apr. 7 & 8	2 - Days	M/T	9:00am-5:00pm
Series 24	May. 5 & 6	2 - Days	M/T	9:00am-5:00pm
Series 24	June. 9 & 10	2 - Days	M/T	9:00am-5:00pm



Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 24	Jan. 21, 23, 28, 30	4 - Days	T/TH	1:00pm-5:00pm
Series 24	Mar. 11, 13, 18, 20	4 - Days	T/TH	1:00pm-5:00pm
Series 24	June. 16, 18, 23,25	4 - Days	M/W	1:00pm-5:00pm
Series 27	Feb. 6	1 - Day	TH	9:30am-5:00pm
Series 27	April. 24	1 - Day	TH	9:30am-5:00pm
Series 27	June. 12	1 - Day	TH	9:30am-5:00pm

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 3	January 8 & 9	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	February 5 & 6	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	March 5 & 6	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	April 2 & 3	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	May 7 & 8	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	June 4 & 5	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 6	January. 14	1 - Day	T	10:00am-6:00pm
Series 6	February. 11	1 - Day	T	10:00am-6:00pm
Series 6	March. 11	1 - Day	T	10:00am-6:00pm
Series 6	April. 8	1 - Day	T	10:00am-6:00pm
Series 6	May. 13	1 - Day	T	10:00am-6:00pm
Series 6	June. 10	1 - Day	T	10:00am-6:00pm
Series 6	January 8 & 9	2 - Days	W/TH	1:00pm-5:00pm
Series 6	January 22 & 23	2 - Days	W/TH	1:00pm-5:00pm
Series 6	February 5 & 6	2 - Days	W/TH	1:00pm-5:00pm
Series 6	February 19 & 20	2 - Days	W/TH	1:00pm-5:00pm
Series 6	March 5 & 6	2 - Days	W/TH	1:00pm-5:00pm
Series 6	March 19 & 20	2 - Days	W/TH	1:00pm-5:00pm
Series 6	April 2 & 3	2 - Days	W/TH	1:00pm-5:00pm
Series 6	April 16 & 17	2 - Days	W/TH	1:00pm-5:00pm
Series 6	May 7 & 8	2 - Days	W/TH	1:00pm-5:00pm
Series 6	May 21 & 22	2 - Days	W/TH	1:00pm-5:00pm
Series 6	June 4 & 5	2 - Days	W/TH	1:00pm-5:00pm
Series 6	June 23 & 24	2 - Days	M/T	1:00pm-5:00pm

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 57	Feb. 3 & 4	2 - Days	M/T	1:00pm-5:00pm
Series 57	Apr. 9 & 10	2 - Days	W/TH	1:00pm-5:00pm
Series 57	June 4 & 5	2 - Days	W/TH	1:00pm-5:00pm
Series 63	January. 10	1 - Day	F	9:00am-2:00pm
Series 63	February. 14	1 - Day	F	9:00am-2:00pm
Series 63	March. 14	1 - Day	F	9:00am-2:00pm
Series 63	April. 11	1 - Day	F	9:00am-2:00pm
Series 63	May. 22	1 - Day	TH	9:00am-2:00pm
Series 63	June. 6	1 - Day	F	9:00am-2:00pm
Series 63	January. 31	1 - Day	F	12:00pm-5:00pm
Series 63	February. 28	1 - Day	F	12:00pm-5:00pm
Series 63	March. 28	1 - Day	F	12:00pm-5:00pm
Series 63	Apr. 25	1 - Day	F	12:00pm-5:00pm
Series 63	May. 9	1 - Day	F	12:00pm-5:00pm
Series 63	June. 20	1 - Day	F	12:00pm-5:00pm
Series 65	Jan. 7, 9, 14, 16	2 - Week	T/TH	1:00pm-4:30pm
Series 65	Feb. 3, 5, 10, 12	2 - Week	M/W	1:00pm-4:30pm
Series 65	March. 3, 5, 10, 12	2 - Week	M/W	1:00pm-4:30pm
Series 65	Apr. 7, 8, 14, 15	2 - Week	M/T	1:00pm-4:30pm
Series 65	May. 5, 7, 12, 14	2 - Week	M/W	1:00pm-4:30pm
Series 65	June 2, 3, 9, 10	2 - Week	M/T	1:00pm-4:30pm

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 66	Jan. 15 & 16	2 - Days	W/TH	9:00am-5:00pm
Series 66	Feb. 12 & 13	2 - Days	W/TH	9:00am-5:00pm
Series 66	Mar. 12 & 13	2 - Days	W/TH	9:00am-5:00pm
Series 66	Apr. 9 & 10	2 - Days	W/TH	9:00am-5:00pm
Series 66	May. 7 & 8	2 - Days	W/TH	9:00am-5:00pm
Series 66	June. 11 & 12	2 - Days	W/TH	9:00am-5:00pm
Series 66	Feb. 24, 25, 26, 27	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Mar. 24, 25, 26, 27	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Apr. 21, 22, 23, 24	4 - Days	M-TH	1:00pm-5:00pm
Series 66	May. 19, 20, 21, 22	4 - Days	M-TH	1:00pm-5:00pm
Series 66	June. 23, 24, 25, 26	4 - Days	M-TH	1:00pm-5:00pm
Series 79	Jan. 8 & 9	2 - Days	W/TH	9:30am-5:30pm
Series 79	Feb. 12 & 13	2 - Days	W/TH	9:30am-5:30pm
Series 79	Mar. 5 & 6	2 - Days	W/TH	9:30am-5:30pm
Series 79	Apr. 16 & 17	2 - Days	W/TH	9:30am-5:30pm
Series 79	May. 13 & 14	2 - Days	T/W	9:30am-5:30pm
Series 79	June 4 & 5	2 - Days	W/TH	9:30am-5:30pm

Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 86	Jan. 27 & 28	2 - Days	M/T	4:30pm-7:30pm
Series 86	Feb. 25 & 27	2 - Days	T/TH	4:30pm-7:30pm
Series 86	Apr. 22 & 24	2 - Days	T/TH	4:30pm-7:30pm
Series 86	June 24 & 26	2 - Days	T/TH	4:30pm-7:30pm
Series 87	Feb. 4	1 - Day	T	4:30pm-8:30pm
Series 87	April. 3	1 - Day	TH	4:30pm-8:30pm
Series 99	January. 14	1 - Day	T	9:30am-5:30pm
Series 99	March. 13	1 - Day	TH	9:30am-5:30pm
Series 99	May. 15	1 - Day	TH	9:30am-5:30pm