



# Licensing Class Schedule

1H 2025

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## SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	January 6 & 7	2-Days	M/T	9:00am-5:00pm
SIE	February 3 & 4	2-Days	M/T	9:00am-5:00pm
SIE	March 3 & 4	2-Days	M/T	9:00am-5:00pm
SIE	April 1 & 2	2-Days	T/W	9:00am-5:00pm
SIE	May 6 & 7	2-Days	T/W	9:00am-5:00pm
SIE	June 3 & 4	2-Days	T/W	9:00am-5:00pm
SIE	Jan. 27 & 28	2-Days	M/T	10:00am-6:00pm
SIE	Feb. 24 & 25	2-Days	M/T	10:00am-6:00pm
SIE	March 24 & 25	2-Days	M/T	10:00am-6:00pm
SIE	Apr. 16 & 17	2-Days	W/TH	10:00am-6:00pm
SIE	May. 20 & 21	2-Days	T/W	10:00am-6:00pm
SIE	June 23 & 24	2-Days	M/T	10:00am-6:00pm
SIE	Jan. 7, 9, 14, 16, 21, 23, 28, 30	4 - Week	T/TH	12:00pm-2:00pm
SIE	Feb. 4, 6, 11, 13, 18, 20, 25, 27	4 - Week	T/TH	12:00pm-2:00pm
SIE	Mar. 3, 5, 10, 12, 17, 19, 24, 26	4 - Week	M/W	12:00pm-2:00pm
SIE	Apr. 1, 3, 8, 10, 15, 17, 22, 24	4 - Week	T/TH	12:00pm-2:00pm
SIE	Apr. 28, 30, May 5, 7, 12, 14, 19, 21	4 - Week	M/W	12:00pm-2:00pm
SIE	June. 3, 5, 10, 12, 17, *18, 24, 26	4 - Week	T/TH	12:00pm-2:00pm

## SIE Securities Industry Essentials

Passing the SIE Exam is the first step for professionals and career changers seeking to launch their careers in the financial services industry.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
SIE	Jan. 14, 16, 21, 23, 28, 30, Feb. 4, 6	4 - Week	T/TH	5:00pm-7:00pm
SIE	Feb. 11, 13, 18, 20, 25, 27, Mar. 4, 6	4 - Week	T/TH	5:00pm-7:00pm
SIE	Mar. 10, 12, 17, 19, 24, 26, 31, Apr. 2	4 - Week	M/W	5:00pm-7:00pm
SIE	Apr. 8, 10, 15, 17, 22, 24, 29, May 1	4 - Week	T/TH	5:00pm-7:00pm
SIE	May 13, 15, 20, 22, 27, 29, June 3, 5	4 - Week	T/TH	5:00pm-7:00pm
SIE	June 9, 11, 16, 18, 23, 25, 30, July 2	4 - Week	M/W	5:00pm-7:00pm
SIE	Feb. 3, 5, 10, 12	2 - Week	M/W	1:00pm-5:00pm
SIE	Apr. 7, 9, 14, 16	2 - Week	M/W	1:00pm-5:00pm
SIE	June, 2, 4, 9, 11	2 - Week	M/W	1:00pm-5:00pm
SIE	Jan. 8, 9, 15, 16	2 - Week	W/TH	5:00pm-9:00pm
SIE	Mar. 5, 6, 12, 13	2 - Week	W/TH	5:00pm-9:00pm
SIE	May. 5, 7, 12, 14	2 - Week	M/W	5:00pm-9:00pm

### Series 7

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7	Jan. 6, 7, 8	3 - Days	M-W	9:00am-5:00pm
Series 7	Feb. 3, 4, 5	3 - Days	M-W	9:00am-5:00pm
Series 7	Mar. 3, 4, 5	3 - Days	M-W	9:00am-5:00pm
Series 7	Apr. 7, 8, 9	3 - Days	M-W	9:00am-5:00pm
Series 7	May. 5, 6, 7	3 - Days	M-W	9:00am-5:00pm
Series 7	June 2, 3, 4	3 - Days	M-W	9:00am-5:00pm
Series 7	Jan. 21, 22, 23	3 - Days	T-TH	11:00am-7:00pm
Series 7	Feb. 24, 25, 26	3 - Days	M-W	11:00am-7:00pm
Series 7	Mar. 24, 25, 26	3 - Days	M-W	11:00am-7:00pm
Series 7	Apr. 21, 22, 23	3 - Days	M-W	11:00am-7:00pm
Series 7	May. 19, 20, 21	3 - Days	M-W	11:00am-7:00pm
Series 7	June. 23, 24, 25	3 - Days	M-W	11:00am-7:00pm
Series 7	Jan. 14, 15, 16, 21, 22, 23	2 - Week	T-TH	1:00pm-5:00pm
Series 7	Mar. 10, 11, 12, 17, 18, 19	2 - Week	M-W	1:00pm-5:00pm
Series 7	May. 12, 13, 14, 19, 20, 21	2 - Week	M-W	1:00pm-5:00pm
Series 7	June. 9, 10, 11, 16, 17, 18	2 - Week	M-W	1:00pm-5:00pm
Series 7	Jan. 21, 23, 28, 30, Feb. 4, 6, 11, 13	4 - Week	T/TH	5:30pm-8:30pm
Series 7	Mar. 3, 5, 10, 12, 17, 19, 24, 26	4 - Week	M/W	5:30pm-8:30pm
Series 7	Apr. 7, 9, 14, 16, 21, 23, 28, 30	4 - Week	M/W	5:30pm-8:30pm
Series 7	May. 6, 8, 13, 15, 20, 22, 27, 29	4 - Week	T/TH	5:30pm-8:30pm
Series 7	June 2, 4, 9, 11, 16, 18, 23, 25	4 - Week	M/W	5:30pm-8:30pm



## Series 7 Options, Suitability & Product Selection

Passing the Series 7 Exam is the next step in launching your career in the securities industry as a General Securities Representative.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 7 OSP	January. 11	1 - Day	S	10:00am-2:00pm
Series 7 OSP	February. 15	1 - Day	S	10:00am-2:00pm
Series 7 OSP	March. 15	1 - Day	S	10:00am-2:00pm
Series 7 OSP	April. 12	1 - Day	S	10:00am-2:00pm
Series 7 OSP	May. 10	1 - Day	S	10:00am-2:00pm
Series 7 OSP	June .14	1 - Day	S	10:00am-2:00pm
Series 7 OSP	January. 23	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	February. 27	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	March. 27	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	April. 24	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	May. 22	1 - Day	TH	1:00pm-5:00pm
Series 7 OSP	June. 26	1 - Day	TH	1:00pm-5:00pm

## Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 9	January. 8	1 - Day	W	9:00am-4:30pm
Series 9	February. 12	1 - Day	W	9:00am-4:30pm
Series 9	March. 19	1 - Day	W	9:00am-4:30pm
Series 9	April. 23	1 - Day	W	9:00am-4:30pm
Series 9	May. 28	1 - Day	W	9:00am-4:30pm
Series 9	June. 30	1 - Day	M	9:00am-4:30pm
Series 10	Jan. 13 & 14	2 - Days	M/T	9:00am-5:00pm
Series 10	Feb. 10 & 11	2 - Days	M/T	9:00am-5:00pm
Series 10	Apr. 16 & 17	2 - Days	W/TH	9:00am-5:00pm
Series 10	June. 9 & 10	2 - Days	M/T	9:00am-5:00pm
Series 10	Mar. 10, 11, 17, 18	2 - Week	M/T	1:00pm-5:00pm
Series 10	May. 12, 13, 19, 20	2 - Week	M/T	1:00pm-5:00pm
Series 24	Jan. 6 & 7	2 - Days	M/T	9:00am-5:00pm
Series 24	Feb. 3 & 4	2 - Days	M/T	9:00am-5:00pm
Series 24	Mar. 3 & 4	2 - Days	M/T	9:00am-5:00pm
Series 24	Apr. 7 & 8	2 - Days	M/T	9:00am-5:00pm
Series 24	May. 5 & 6	2 - Days	M/T	9:00am-5:00pm
Series 24	June. 9 & 10	2 - Days	M/T	9:00am-5:00pm

## Managers & Principals

The following Series are geared towards financial professionals looking to advance into a supervisory role within general securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 24	Jan. 21, 23, 28, 30	4 - Days	T/TH	1:00pm-5:00pm
Series 24	Mar. 11, 13, 18, 20	4 - Days	T/TH	1:00pm-5:00pm
Series 24	June. 16, 18, 23,25	4 - Days	M/W	1:00pm-5:00pm
Series 27	Feb. 6	1 - Day	TH	9:30am-5:00pm
Series 27	April. 24	1 - Day	TH	9:30am-5:00pm
Series 27	June. 12	1 - Day	TH	9:30am-5:00pm



## Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 3	January 8 & 9	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	February 5 & 6	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	March 5 & 6	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	April 2 & 3	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	May 7 & 8	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 3	June 4 & 5	1.5 days	W/TH	D1: 9:30am-4:30pm D2: 9:30am-2pm
Series 6	January. 14	1 - Day	T	10:00am-6:00pm
Series 6	February. 11	1 - Day	T	10:00am-6:00pm
Series 6	March. 11	1 - Day	T	10:00am-6:00pm
Series 6	April. 8	1 - Day	T	10:00am-6:00pm
Series 6	May. 13	1 - Day	T	10:00am-6:00pm
Series 6	June. 10	1 - Day	T	10:00am-6:00pm
Series 6	January 8 & 9	2 - Days	W/TH	1:00pm-5:00pm
Series 6	January 22 & 23	2 - Days	W/TH	1:00pm-5:00pm
Series 6	February 5 & 6	2 - Days	W/TH	1:00pm-5:00pm
Series 6	February 19 & 20	2 - Days	W/TH	1:00pm-5:00pm
Series 6	March 5 & 6	2 - Days	W/TH	1:00pm-5:00pm
Series 6	March 19 & 20	2 - Days	W/TH	1:00pm-5:00pm
Series 6	April 2 & 3	2 - Days	W/TH	1:00pm-5:00pm
Series 6	April 16 & 17	2 - Days	W/TH	1:00pm-5:00pm
Series 6	May 7 & 8	2 - Days	W/TH	1:00pm-5:00pm
Series 6	May 21 & 22	2 - Days	W/TH	1:00pm-5:00pm
Series 6	June 4 & 5	2 - Days	W/TH	1:00pm-5:00pm
Series 6	June 23 & 24	2 - Days	M/T	1:00pm-5:00pm

## Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 57	Feb. 3 & 4	2 - Days	M/T	1:00pm-5:00pm
Series 57	Apr. 9 & 10	2 - Days	W/TH	1:00pm-5:00pm
Series 57	June 4 & 5	2 - Days	W/TH	1:00pm-5:00pm
Series 63	January. 10	1 - Day	F	9:00am-2:00pm
Series 63	February. 14	1 - Day	F	9:00am-2:00pm
Series 63	March. 14	1 - Day	F	9:00am-2:00pm
Series 63	April. 11	1 - Day	F	9:00am-2:00pm
Series 63	May. 22	1 - Day	TH	9:00am-2:00pm
Series 63	June. 6	1 - Day	F	9:00am-2:00pm
Series 63	January. 31	1 - Day	F	12:00pm-5:00pm
Series 63	February. 28	1 - Day	F	12:00pm-5:00pm
Series 63	March. 28	1 - Day	F	12:00pm-5:00pm
Series 63	Apr. 25	1 - Day	F	12:00pm-5:00pm
Series 63	May. 9	1 - Day	F	12:00pm-5:00pm
Series 63	June. 20	1 - Day	F	12:00pm-5:00pm
Series 65	Jan. 7, 9, 14, 16	2 - Week	T/TH	1:00pm-4:30pm
Series 65	Feb. 3, 5, 10, 12	2 - Week	M/W	1:00pm-4:30pm
Series 65	March. 3, 5, 10, 12	2 - Week	M/W	1:00pm-4:30pm
Series 65	Apr. 7, 8, 14, 15	2 - Week	M/T	1:00pm-4:30pm
Series 65	May. 5, 7, 12, 14	2 - Week	M/W	1:00pm-4:30pm
Series 65	June 2, 3, 9, 10	2 - Week	M/T	1:00pm-4:30pm

## Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 66	Jan. 15 & 16	2 - Days	W/TH	9:00am-5:00pm
Series 66	Feb. 12 & 13	2 - Days	W/TH	9:00am-5:00pm
Series 66	Mar. 12 & 13	2 - Days	W/TH	9:00am-5:00pm
Series 66	Apr. 9 & 10	2 - Days	W/TH	9:00am-5:00pm
Series 66	May. 7 & 8	2 - Days	W/TH	9:00am-5:00pm
Series 66	June. 11 & 12	2 - Days	W/TH	9:00am-5:00pm
Series 66	Feb. 24, 25, 26, 27	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Mar. 24, 25, 26, 27	4 - Days	M-TH	1:00pm-5:00pm
Series 66	Apr. 21, 22, 23, 24	4 - Days	M-TH	1:00pm-5:00pm
Series 66	May. 19, 20, 21, 22	4 - Days	M-TH	1:00pm-5:00pm
Series 66	June. 23, 24, 25, 26	4 - Days	M-TH	1:00pm-5:00pm
Series 79	Jan. 8 & 9	2 - Days	W/TH	9:30am-5:30pm
Series 79	Feb. 12 & 13	2 - Days	W/TH	9:30am-5:30pm
Series 79	Mar. 5 & 6	2 - Days	W/TH	9:30am-5:30pm
Series 79	Apr. 16 & 17	2 - Days	W/TH	9:30am-5:30pm
Series 79	May. 13 & 14	2 - Days	T/W	9:30am-5:30pm
Series 79	June 4 & 5	2 - Days	W/TH	9:30am-5:30pm

## Registered Representatives

The following exams are for finance professionals who desire to serve as a Registered Representative to clients who are trading investment products and securities.

Course Code	Dates	# of Session/Days	Day(s) of Week	Time (EST)
Series 86	Jan. 27 & 28	2 - Days	M/T	4:30pm-7:30pm
Series 86	Mar. 10 & 12	2 - Days	M/W	4:30pm-7:30pm
Series 86	Apr. 22 & 24	2 - Days	T/TH	4:30pm-7:30pm
Series 86	June 24 & 26	2 - Days	T/TH	4:30pm-7:30pm
Series 87	Feb. 4	1 - Day	T	4:30pm-8:30pm
Series 87	April. 3	1 - Day	TH	4:30pm-8:30pm
Series 99	January. 14	1 - Day	T	9:30am-5:30pm
Series 99	March. 13	1 - Day	TH	9:30am-5:30pm
Series 99	May. 15	1 - Day	TH	9:30am-5:30pm